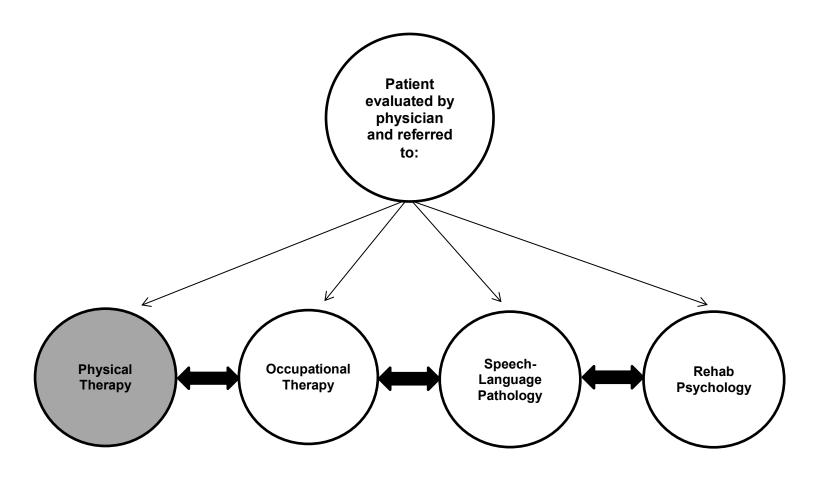
# POSTCONCUSSIVE SYNDROME (PCS) CLINICAL PRACTICE GUIDELINE: PHYSICAL THERAPY

# Disclaimer

Progression is time and criterion-based, dependent on soft tissue healing, patient demographics and clinician Evaluation.



# **Purpose**

The primary purpose of this guideline is to improve the diagnosis of impairments related to PCS, improve the quality of care and outcomes for patients with impairments related to PCS, and to decrease variations in the evaluation and management of PCS. This guideline addresses these needs by encouraging accurate and efficient diagnosis and treatment and, when applicable, facilitating patient follow-up to address the management of long-term sequelae or evaluation of new or worsening symptoms not indicative of mTBI/concussion. The guideline is intended for clinicians primarily in an outpatient setting who are likely to diagnose and manage patients with impairments related to PCS; however, these guidelines can provide guidance on symptom projection for the acute management. The target population is inclusive of both adults and adolescents presenting with impairments related to PCS. This guideline is intended to focus on a limited number of quality improvement opportunities deemed most important by the CPG and is not intended to be a comprehensive guide for managing PCS.

The recommendations outlined in this guideline are not intended to represent the standard of care for patient management, nor are the recommendations intended to limit treatment or care provided to individual patients. The guideline is not intended to replace clinical judgment for individualized patient care. The goal is to create a guideline with a specific set of focused recommendations based on an established and transparent process that considers levels of evidence, harm-benefit balance, and expert consensus to resolve gaps in evidence. These specific recommendations are designed to improve quality of care and may be used to develop performance measures.

# Background

Concussions are a form of mild traumatic brain injury (TBI) caused by a bump, blow, or jolt to the head or body with resultant bouncing and twisting of the brain within the skull. According to the Centers for Disease Control and Prevention, concussion is a complex pathophysiologic process induced by traumatic forces secondary to direct or indirect forces to the head that disrupts the function of the brain.¹ Concussions results in multiple system impairments including physical, cognitive, emotional and/or sleep-related symptoms which may or may not involve a loss of consciousness.¹ Majority of patients reach full neurologic recovery within 1-2 weeks, however, 15-20% will have persistent signs and symptoms beyond 3 weeks.²,3,4,5 Persistent symptoms are referred to as Post-concussion Syndrome (PCS) and is diagnosed according to clinical criteria of physical signs and subjective somatic, cognitive and neurobehavioral symptoms, as there is no gold standard diagnostic test.⁶ The broadest and most sensitive PCS definition is provided by the World Health Organization's International Classification of Diseases (ICD-10), including 3 or more of the following: headache, dizziness, fatigue, irritability, insomnia, concentration difficulty or memory difficulty, refer to Appendix A.⁴ Furthermore, PCS has been delineated into subtypes of post-concussion disorders (PCD) of physiologic PCD, vestibulo-ocular PCD and cervicogenic PCD based on clinical history, physical examination and graded exercise testing.³



# Summary of Recommendations

### **Etiology** • There are an estimated 1.7-3.8 million sports and recreation-related concussions each year<sup>4,5,8,9</sup> Symptoms of acute concussion are believed to be secondary to a global cerebral energy crisis characterized by alterations in cell membrane permeability, ion transport regulation, neurotransmitter release, cellular metabolism and cerebral blood flow (CBF)<sup>5</sup> • 15-20% patients will have persistent signs and symptoms beyond 3 weeks. 2,3,4,5 Refer to Appendix B for a proposed algorithm on management of a concussion following the initial MOI PCS has been delineated into subtypes of post-concussion disorders (PCD) of physiologic PCD, vestibulo-ocular PCD and cervicogenic PCD based on clinical history, physical examination and graded exercise testing<sup>5</sup> – Appendix C Current guidelines recommend a period of cognitive and physical rest early post-injury as symptoms/recovery can increase with cognitive and physical exertion<sup>4,9</sup> Recommended period of cognitive and physical rest is 24-48 hours. No scientific evidence suggests that prolonged rest for more than this time period is beneficial.<sup>4</sup> No scientific evidence that medication speeds recovery<sup>4</sup> Encouraged to prioritize treatments in a hierarchical fashion that address symptoms that could delay recovery first<sup>2,7</sup> Primary: depression, anxiety, irritability, sleep disorder, post-traumatic headache Secondary: Balance, dizziness, vertigo, cognition impairment, fatigue, tinnitus, phonophobia **Factors That** Prior h/o concussion(s), previous physical limitations, post-traumatic amnesia (PTA), Increase Risk For posttraumatic migraine, skull fracture, dizziness at time of incident, cognitive deficits in first Concussion few days, reduced balance or dizziness in acute stage, nausea after injury<sup>4,7,10,11</sup> H/o psychiatric disorders, learning disability, migraines or family h/o migraines. PTSD<sup>10</sup> • Female gender or younger age<sup>4,10</sup> • Decreased cervical strength<sup>11,12,13</sup> Involvement in collision sports (e.g. American football, rugby, lacrosse, soccer)<sup>11,14</sup> • Refer to Appendix B for proposed algorithm of proposed intrinsic and extrinsic variables increasing risk for concussion<sup>11</sup> **Factors That Play** Psychosocial symptoms such as depression and anxiety<sup>2</sup> a Role in Poor recovery expectations<sup>2,15</sup> **Prognosis** Poor pre-injury mental status<sup>2</sup> Lower education status<sup>2</sup> Examination • Objective examination measures include assessing components of ROM, posture, joint mobility and strength testing of the cervicothoracic spine and shoulder complex Screening includes neurologic tests, vision, vestibular and c-spine clearance **Outcome Testing** Recommended patient-reported outcome measures include: Concussion Grading Scale, Post-concussion Symptom Inventory (PCSI- SR5, PCSI-SR8, PCSI-SR13), Neck Disability Index (NDI), Headache Disability Index (HDI), Dizziness Handicap Inventory (DHI)<sup>1</sup>, Convergence Insufficiency Symptom Survey (CISS)<sup>19</sup>, SF-36, SF-12, Rivermead Post Concussion Symptoms Questionnaire (RPQ)3 Recommended family/support reported outcome measures include: Post-Concussion Symptom Inventory – Parent (PCSI-P) Recommended balance centered outcome measures include: Balance Error Scoring System(BESS), Mini-BESTest, Dynamic Gait Index (DGI)1, Functional Gait Assessment (FGA)<sup>1</sup> HiMAT, Timed Up and Go (TUG)<sup>1</sup> TUG Cognitive, Modified Sensory Organization Test (mSOT)<sup>1</sup>, 10-meter walk test (10MWT)<sup>1</sup> Recommended vestibular centered outcome measures include: VOR, VOR cancellation, Head Impulse Test (HIT), Dynamic Visual Acuity (DVA), Dix Hall Pike, Joint Position Error, Motion Sensitivity Quotient (MSQ)



	<ul> <li>Recommended cervicogenic centered outcome measures include: joint position error (JPE), postural stability – with vibration to head/neck as indicated, craniocervical flexion test (CCFT), head-neck differentiation test, smooth pursuit neck torsion test (SPNT)</li> <li>Recommended physiologic centered outcome measures include: Buffalo Concussion Treadmill Test (BCTT) and Buffalo Concussion Bike Test (BCBT)<sup>16,17</sup></li> </ul>					
Key	Physiologic PCD <sup>5,18</sup>	Vestibulo-ocular PCD <sup>5,20</sup>	Cervicogenic PCD⁵			
Interventions	Physical and cognitive rest	<ul> <li>Vestibular rehabilitation</li> </ul>	Cervical spine manual			
	School/work accommodations	program	therapy			
	<ul> <li>Sub-symptom threshold</li> </ul>	<ul> <li>Vision therapy program –</li> </ul>	Head-neck			
	aerobic exercise programs	refer to OT Vision P2P	proprioception re-training			
		School/work	Balance and gaze			
		accommodations	stabilization exercises			
		Sub-symptom threshold aerobic exercise program	Sub-symptom threshold aerobic exercise			
			program			

# Recommendations for Outcome Testing

**Patient Reported Outcome Measures** 

Patient Reported Outo	
Concussion Grading Scale (CGS)	Appendix D
Graded Symptom Checklist <sup>10</sup>	https://sjschools.org/images/Athletics_HS/graded_symptom_checklist.pdf
Rivermead Post- Concussion Symptom Questionnaire <sup>10</sup>	http://www.chiropractor-sacramento.com/wp-content/uploads/2010/02/Rivermeade.pdf
Post-Concussion Symptom Scale <sup>10</sup>	http://www.globalconcussions.org/resources/Post-Concussion-Symptom-Scale.pdf
Post-Concussion Symptom Inventory - Parent <sup>7</sup>	https://hawaiiconcussion.com/downloads/Post-Concussion-Symptom-Scale.pdf
Neurobehavioral Symptom Inventory (military specific) <sup>10</sup>	https://dvbic.dcoe.mil/sites/default/files/dcoe_dvbic_website-clinical-tools_nsi_v1.0_2017-08-18.pdf
Neck Disability Index (NDI)	https://www.sralab.org/rehabilitation-measures/neck-disability-index
Headache Disability Index (HDI)	https://www.allinahealth.org/-/media/allina-health/files/health-conditions-and-treatments/individual-hct-pages/26headachedisabilityindex.pdf
Dizziness Handicap Index (DHI) <sup>1</sup>	https://www.sralab.org/rehabilitation-measures/dizziness-handicap-inventory
Convergence Insufficiency Symptom Survey (CISS) <sup>19</sup>	http://www.sankaranethralaya.org/pdf/patient-care/Convergence-Insufficiency-Symptom-Survey.pdf
SF-36	https://clinmedjournals.org/articles/jmdt/jmdt-2-023-figure-1.pdf
SF-12	https://www.sralab.org/rehabilitation-measures/short-form-12-item-version-2-health-survey
Patient Health Questionnaire 9-Item Scale <sup>2</sup>	https://www.uspreventiveservicestaskforce.org/Home/GetFileByID/218
Generalized Anxiety Disorder 7-Item Scale <sup>2</sup>	https://www.integration.samhsa.gov/clinical-practice/gad708.19.08cartwright.pdf
Balance Outcome Mea	asures
Balance Error Scoring System (BESS)	https://www.sralab.org/rehabilitation-measures/balance-error-scoring-system
Mini-BESTest	https://www.sralab.org/rehabilitation-measures/mini-balance-evaluation- systems-test
Dynamic Gait Index (DGI) <sup>1</sup>	https://www.sralab.org/rehabilitation-measures/dynamic-gait-index
Functional Gait Assessment (FGA) <sup>1</sup>	https://www.sralab.org/rehabilitation-measures/functional-gait-assessment
High Level Mobility Assessment Tool (HiMAT)	https://www.sralab.org/rehabilitation-measures/high-level-mobility-assessment-tool
Timed Up and Go (TUG) <sup>1</sup>	https://www.sralab.org/rehabilitation-measures/timed-and-go



Modified Sensory	https://www.sralab.org/rehabilitation-measures/sensory-organization-test
Organization Test	insperimental desired and instruction of the second of the
(mSOT) <sup>1</sup>	https://www.sralab.org/sites/default/files/2017-06/204Lmctsib.pdf
10-meter Walk Test	https://www.sralab.org/rehabilitation-measures/10-meter-walk-test
(10MWT) <sup>1</sup> Physiological Testing	
Buffalo Concussion	Refer Below – Test and Measures: Activity Tolerance Testing
Treadmill Test <sup>16</sup>	Test and Measures. Activity Folchance Testing
Balke Protocol <sup>18,21</sup>	Refer Below – Test and Measures: Activity Tolerance Testing
Buffalo Concussion	https://journals-sagepub-com.proxy.lib.ohio-
Bike Test <sup>17</sup>	state.edu/doi/suppl/10.1177/1941738119870189/suppl file/Buffalo Concussion
	Bike Test Manual.pdf
-	
Vestibular Outcome N	
VOR	https://www.youtube.com/watch?v=j_R0LcPnZ_w
VOR Cancellation	https://www.youtube.com/watch?v=ExOs7HSHv-c
Head Impulse Test (HIT)	https://www.sralab.org/rehabilitation-measures/head-impulse-test-head-thrust-
(1111)	<u>test</u>
	https://www.youtube.com/watch?v=DrA4ERU2aG8
Dynamic Visual Acuity	https://www.crolob.org/rehobilitation_maggures/dwnomic_vigual_acuity_test_non_
Dynamic Visual Acuity (DVA)	https://www.sralab.org/rehabilitation-measures/dynamic-visual-acuity-test-non-instrumented
(DVA)	<u>instrumented</u>
(DVA)  Vestibulo-oculomotor	· · · · · · · · · · · · · · · · · · ·
(DVA)  Vestibulo-oculomotor Screen (VOMS)	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf
(DVA)  Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver
Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike  Joint Position Error	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf  https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver https://www.sralab.org/rehabilitation-measures/cervical-joint-position-error-test
Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike  Joint Position Error  Motion Sensitivity	instrumented  https://www.physiotherapyalberta.ca/files/vomstool.pdf  https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver
Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike  Joint Position Error	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf  https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver https://www.sralab.org/rehabilitation-measures/cervical-joint-position-error-test https://www.sralab.org/rehabilitation-measures/motion-sensitivity-quotient-test
Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike  Joint Position Error  Motion Sensitivity	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf  https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver https://www.sralab.org/rehabilitation-measures/cervical-joint-position-error-test
Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike  Joint Position Error  Motion Sensitivity	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf  https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver https://www.sralab.org/rehabilitation-measures/cervical-joint-position-error-test https://www.sralab.org/rehabilitation-measures/motion-sensitivity-quotient-test https://www.chartercare.org/uploads/appendix_g_motion_sensitivity_test.pdf
Vestibulo-oculomotor Screen (VOMS)  Dix Hall Pike  Joint Position Error  Motion Sensitivity  Quotient (MSQ)	instrumented https://www.physiotherapyalberta.ca/files/vomstool.pdf  https://www.sralab.org/rehabilitation-measures/dix-hallpike-maneuver https://www.sralab.org/rehabilitation-measures/cervical-joint-position-error-test https://www.sralab.org/rehabilitation-measures/motion-sensitivity-quotient-test https://www.chartercare.org/uploads/appendix_g_motion_sensitivity_test.pdf
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# Examination<sup>4,10</sup>

# **History**

- Age, date of injury (DOI), mechanism of injury (MOI), sport specific wearing helmet or mouth guard at time of injury, symptoms present at time of injury, loss of consciousness (if yes, how long?), post-traumatic amnesia (PTA – retrograde or anterograde), current school/work status, sport participation, exercise habits, disturbance/change of sleep habits, psychosocial changes, headaches, number of previous concussions (DOI, MOI, symptom type and duration, time off from school/work/sport/activity)
- Past medical history significant for: Attention Deficit Hyperactivity Disorder (ADHD), seizures, learning disorder, migraines/headaches, family history of migraines, anxiety, depression, PTSD<sup>11</sup>
- Pharmacological management<sup>13</sup>: sleep dysregulation, headaches, memory, concentration, mood disturbances
- Systems review and administration of Concussion Grading Scale (CGS) current symptoms

#### Screening

- Postural alignment in sitting and standing: forward head, head tilt, rounded shoulders, shoulder elevation, scapular winging, pelvic alignment/symmetry
- Neurologic screen: sensation/dermatomes, upper and lower extremity myotomes, deep tendon reflexes (DTR), Hoffman's, Babinski's, CN screen
- Visual/oculomotor screen: spontaneous nystagmus, gaze holding nystagmus, smooth pursuit, saccades, convergence insufficiency, accommodation insufficiency
- Cervical clearing: compression, distraction, spurling's maneuver, alar ligament, sharp-purser, transverse ligament, vertebral artery insufficiency
  - Sharp-Purser has been used in the past to screen the cervical spine, but new research
    has shown the test may be inappropriate to use due to inconsistent validity, poor interrater reliability and potential to cause harm<sup>22</sup>
- Vestibular screen:<sup>26</sup> Central / peripheral signs, Dix-Hallpike and supine roll tests, VOMS, VOR, VOR Cx, Head impulse test, Dynamic Visual Acuity (DVA), head-shake nystagmus, postural stability with vibration to head/neck as indicated
- Screen for psychosocial factors as deemed appropriate: Generalized Anxiety Disorder 7-Item Scale and Patient Health Questionnaire 9-Item Scale<sup>2</sup>

# Tests and Measures

- Cervical, thoracic and shoulder A/PROM
- Palpation
- Joint mobility/alignment
- Strength testing: cervical flexion, extension, lateral flexion, rotation, deep neck flexor isometric hold / cranial cervical flexion test with biofeedback cuff)
- Impairment based outcome measures
  - Patient-reported outcome measures: Concussion Grading Scale, Neck Disability Index (NDI), Headache Disability Index (HDI), Dizziness Handicap Inventory (DHI)<sup>1</sup>, Convergence Insufficiency Symptom Survey (CISS), SF-36, SF-12, Rivermead Post Concussion Symptoms Questionnaire (RPQ)<sup>3</sup>
  - Family/support reported outcome measures include: Post-Concussion Symptom Inventory – Parent (PCSI-P)
  - Balance outcome measures: Balance Error Scoring System (BESS)<sup>5</sup>, Mini-BESTest, Dynamic Gait Index (DGI)<sup>3</sup>, Functional Gait Assessment (FGA)<sup>5</sup>, High Level Mobility Assessment Tool (HiMAT), Timed Up and Go (TUG), TUG cognitive, Sensory Organization Test (SOT), 10-meter walk test (10MWT)
  - Vestibular outcome measures: VOR, VOR cancellation, Head Impulse Test (HIT),
     Dynamic Visual Acuity (DVA), Dix Hall Pike<sup>5</sup>, Joint Position Error, Motion Sensitivity
     Quotient (MSQ), Vestibulo-oculomotor Screen (VOMS)
  - Cervicogenic centered outcome measures: Joint Position Error, Postural Stability- with vibration to head/neck as indicated, craniocervical flexion test (CCFT), head-neck differentiation test, smooth pursuit neck torsion test (SPNT),

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- Activity tolerance testing:
  - Degree of exercise intolerance shortly after [1-9 days post] sports related concussion (SRC) strongly predicts time to recovery<sup>23</sup>
  - Treadmill testing in patients with symptoms persistent >10 days should not be performed before 3-weeks post-injury with intent to implement Return to Activity Protocol<sup>4</sup>

# **Buffalo Concussion Treadmill Test** (**BCTT**)<sup>16,24</sup> – Appendix E

- Treadmill Speed
  - 3.6 mph for patients >/= 5'5"
  - 3.2 mph for patients < 5'5"</li>
  - Speed may be adjusted +/- .2 mph to 3.4 mph as needed for taller/shorter or athletic/sedentary patients to facilitate a normalized walking speed.
- Patient starts at 0% incline. Incline is increased by 1% at minute 2 and by 1% each minute thereafter until max incline is reached. If patient is able to continue, speed is increased by 0.4 mph until stopping criteria is fulfilled.
- Stopping Criteria
  - PCS symptoms increase per CGS clinical judgement
  - 20 min time cap
  - RPE of 19-20
- RPE, HR and assessment of new PCS symptoms assessed every 1-minute
- BP assessed every 2-minutes

# Balke Protocol<sup>18,21</sup>

- Treadmill speed set at 3.3 mph at 0% incline. After 1 min, treadmill grade increased to 2.0% maintaining same speed. At the start of the third minute treadmill grade raised by 1%.
- Blood pressure (sphygmomanometer)
  measured every 2 minutes. Heart rate (HR
  monitor) and rate of perceived exertion RPE (Borg scale) measured every minute,
  as well as patient rating of symptom change
  and therapist observation.
- Test is terminated at report of exacerbation of PCS symptoms

# Buffalo Concussion Bike Test (BCBT)<sup>17</sup>

- Power Output
  - RPMs for each stage are calculated using the ACSM walking equation.
  - Measure the patient's body weight in kilograms and enter into excel spreadsheet.
  - The excel spreadsheet will autofill all the power values for each stage according to the ACSM walking equation.
  - Please reach out to <a href="mailto:alicia.kempton@osumc.edu">alicia.kempton@osumc.edu</a> for a copy of the excel spreadsheet with equation in order to determine the patient's power output for each stage
- When the patient begins biking, adjust the power for the appropriate RPM value according to the excel spreadsheet.
- Power output is increased at the start of each minute based on power values attained from excel spreadsheet.
- RPE, HR and assessment of new PCS symptoms assessed every 1-minute
- BP assessed every 2-minutes
- Stopping Criteria
  - RPE of ≥18
  - PCS symptom exacerbation (increase ≥3 on the Likert scale compared to baseline)
  - Patient reports inability to continue safely with test

# Patient Education

- Several studies demonstrate brief, single session education-oriented treatment is superior to standard procedures and even as effective as more intensive interventions<sup>5,13</sup>
- Education session can include but not limited to:
  - Common symptoms, reassurance, typical time and course of recovery, how to manage or cope, gradual reintegration to regular activities, how to access further support, regular stress management



- Patient should also be educated on a return to school/work progression please refer to Appendix F
- Strongly suggested education handouts
  - https://patienteducation.osumc.edu/Documents/concuss.pdf
  - http://www.michigan.gov/documents/mdch/TBI\_Recovery\_Guide\_10.8.08\_252053\_7.pdf

# Recommendations for Physiologic PCD Interventions

#### **Etiology**

- Persistent alterations in cell membrane permeability, ion transport regulation, neurotransmitter release, cellular metabolism and CBF.<sup>5,18</sup>
  - Magnetic resonance spectroscopy studies, athletes who report being symptom free at 3-15 days did not have complete metabolic recovery until 30 days post-injury, with mitochondrial metabolism taking an additional 15 days with a second concussion<sup>4,18</sup>
- Exacerbation of symptoms during cognitive activity and/or physical exercise secondary to persistent cerebral metabolic energy deficiency.<sup>5</sup>
- Autonomic nervous system dysfunction characterized by higher rates of sympathetic nervous system output (resting HRs and HR during cognitive and/or physical activity) proportional to TBI severity and improves during TBI recovery<sup>4,5</sup>
- Exercise testing and rehab should be employed only if patients have persistent symptoms for 3-6 weeks or more<sup>2</sup> – Appendix C
  - For sports related concussion (SRC) **OSU's Return to Sport Guidelines** should be followed days 1-14 Appendix H
  - Treadmill/Bike testing in patients with symptoms persistent >10 days should not be performed before 3-weeks post-injury with intent to implement Return to Activity protocol<sup>4</sup>

#### Physical and Current guidelines recommend a period of cognitive and physical rest early post-**Cognitive Rest** injury as symptoms/recovery can increase with cognitive and physical exertion<sup>2</sup> Recommended period of cognitive and physical rest is 24-48 hours. No scientific evidence suggests that prolonged rest for more than this time period is beneficial<sup>4,9</sup> No scientific evidence that medication speeds recovery<sup>4</sup> **Prognostic** Exercise intolerance testing may be emerging as one of the best systemic physiological biomarkers in concussion recovery<sup>23</sup> Utility Degree of exercise intolerance shortly after [19- days post] SRC strongly predicts time to recovery<sup>23</sup>Subjects with a low HR threshold (<135 bpm) are approximately 45x more likely to have prolonged recovery<sup>23</sup> Sub-symptom Animals with mTBI exposed to exercise 14-21 days post exercise were found to Threshold improve cognitive performance and high levels of BDNF<sup>5,18</sup> Aerobic Exercise-induced BDNF is dependent on injury severity<sup>18</sup> **Exercise** i.e. – moderate TBI = 30-36 days after injury **Program** · Sub-symptom threshold programs should be considered in adolescent and adults with symptoms persisting beyond 3 weeks<sup>5</sup> Subthreshold aerobic exercise treatment has been shown to restore fMRI brain activation patterns to normal vs a sham (stretching) program<sup>18</sup> OSU's Return to Activity Protocol – Refer to Appendix G Establish sub-symptom threshold with BCTT per physician recommendation % HRmax at which BCTT was terminated Decrease % above by 15-20% = Prescribed HR Correlate Prescribed HR to Phase I-VI of OSU RTA Protocol Progress through phases as indicated by min to no symptom exacerbation Patients who are more fit or athletes generally respond faster<sup>18</sup> Physiological resolution of concussion = ability to exercise at 85-90% age-predicted HRmax for 20 min without exacerbation of symptoms for several consecutive days<sup>18</sup> Athletes must be cleared by their physician of record prior to return to sport based on OHSAA and NCAA legislation

For additional resources/questions contact alicia.kempton@osumc.edu



# Recommendations for Vestibulo-ocular PCD Interventions

#### **Etiology**

- Vestibular, oculomotor and somatosensory systems consist of special sensory organs with primary processing units that share direct, indirect and reciprocal projections to the spinal cord, autonomic nervous system, brainstem nuclei, cerebellum, thalamus, basal ganglia and cerebral cortex<sup>5</sup>
- Symptoms such as vertigo, dizziness (23-81% in first days, 16-18% at three months, 32.5% at 5 years),
   nausea, light-headedness, gait instability and postural instability can originate from vestibular dysfunction
  - Vestibulo-ocular reflex (VOR) regulates gaze stabilization during head acceleration<sup>5,20</sup>
  - Vestibulo-spinal reflex (VSR) coordinates head, neck and trunk positioning during dynamic body movements<sup>5</sup>
  - Post-traumatic benign paroxysmal positional vertigo (BPPV), labyrinthine concussion, perilymphatic fistula, endolymphatic hydrops, otolith disorders and central vestibular disorders<sup>5</sup>
    - >1 of the above mechanisms present in up to 46% concussion patients<sup>5</sup>
- Symptoms such as blurred vision, diplopia, difficulty tracking objects, difficulty reading, motion sensitivity, eye strain brow-ache, trouble focusing or headache can originate from accommodation, version (pursuits, saccades and fixation), convergence insufficiency, photosensitivity and rarely visual field defects and CN palsies<sup>5</sup>
  - Warrants referral to vision specialist/OT refer to OT Vision P2P
- Consider treatment of impairments of the neck prior to progressing towards treatment of vestibular symptoms as there may be an inability to perform movements required by vestibular treatments due to cervical dysfunction <sup>3</sup>

BPPV	Repositioning techniques
Eye-Head	VORx1 and VORx2 (yaw and pitch planes) 1,5,20
Coordination	<ul> <li>Modify frequency, BOS, stability of surface and complexity of visual background</li> <li>Prescribed in 95% of patients<sup>20</sup></li> </ul>
Sitting Balance	Supported and unsupported sitting, weight shifts, bouncing <sup>20</sup>
Standing	Modifiers refer to Appendix I
Static Balance	Modify base of support (romberg, tandem, single leg stance, etc) <sup>5,20</sup>
	Weight shifting in variable directions <sup>20</sup>
	Prescribed in 88% of patients <sup>20</sup>
Standing	Sit to stand <sup>20</sup>
Dynamic	Marching, step forward or backward, step to the side, step up or down, turn
Exercises	around <sup>20</sup>
Ambulation	Walking forward, backwards, tandem, on stairs, with whole body turns and head on body turns, braiding/grape vine, skipping, jogging and running <sup>20</sup>
	Prescribed in 76% of patients
Sensory	Modify stability of surface (foam, rocker board, etc.) <sup>5,20</sup>
Re-integration	Modify BOS <sup>20</sup>
	Modify positioning of trunk and arms <sup>20</sup>
	Incorporate head movements and modify direction of movement <sup>20</sup>
	Visual Integration (Eyes open, eyes closed, distraction) <sup>20</sup>
	Dual Tasking <sup>20</sup>
	Refer to Appendix I for progression of each modifier

# Recommendations for Cervicogenic PCD Interventions

# **Etiology**

- Structural and functional injury to the cervical spine can be associated with symptoms such as headache, dizziness, blurred vision and vertigo as rotational forces can effect nerve tracts and proprioceptive fibers<sup>6</sup>
  - Cervical afferents carry proprioceptive information to the cerebellum via spinocerebellar tracts and to the dorsal column nuclei via posterior column-medial lemniscal pathways, which project to the thalamus and primary somatosensory cortex<sup>5</sup>
  - Cervical afferent project to central cervical nucleus, vestibular nuclei and superior colliculi to mediate head and neck position send through coordination of the cervicocollic reflex (CCR), vestibulocollic reflex (VCR) and cervico-ocular reflex (COR) respectively<sup>5</sup>
- Cervicogenic dizziness is a diagnosis of exclusion
  - Neck pain, stiffness, decreased range of motion, dizziness, impaired balance, impaired head-neck position sense
  - No evidence of central or peripheral vestibular involvement
  - Negative BCTT
  - Appendix C
- Difference in cervical muscle strength development, ligamentous laxity, head-body proportions and cervical spine mobility may place children and adolescents at increased risk<sup>5</sup>
  - Neck strength is a significant predictor of concussion among high school athletes; for every one pound increase in neck strength, odds of concussion decrease by 5%<sup>12</sup>

Cervical Mobility and Pain Management⁵	<ul> <li>Passive and active range of motion</li> <li>Soft tissue mobilization</li> <li>Grade I-IV mobilizations         <ul> <li>Upper cervical spine</li> <li>Cervico-thoracic junction</li> </ul> </li> <li>Minimal evidence to support Grade V manipulations<sup>25</sup></li> <li>Mulligan techniques – SNAGs<sup>26</sup></li> <li>Traction/distraction</li> <li>Muscle energy techniques – contract/relax <sup>26</sup></li> </ul>
Cervical	Joint position error training
Proprioception <sup>3</sup>	<ul> <li>Progress to tracing figures and/or complex designs</li> </ul>
	Gaze stabilization – VORx1 and VORx2 progressions
Cervical	Strength and stabilization retraining
Strengthening	<ul> <li>Deep neck flexors</li> </ul>
	Cervical extensors
Postural	Biofeedback using mirrors
Retraining	Ergonomic training/education
	Movement control training/education
	<ul> <li>Sport specific activity, job related tasks, activities of daily living</li> </ul>
	Abdominal/trunk strengthening
	Scapular strengthening

# Appendix A: PCS Diagnostic Criteria

# **Diagnostic Criteria for Post-Concussion Syndrome (ICD-10)**

- A. History of head trauma with loss of consciousness preceding symptom onset by a maximum of 4 weeks.
- B. Symptoms in 3 or more of the following symptom categories
  - Headache dizziness, malaise, fatigue, noise tolerance
  - Irritability, depression, anxiety, emotional lability
  - Subjective concentration, memory or intellectual difficulties without neuropsychological evidence of marked impairment
  - Insomnia
  - Reduced alcohol tolerance
  - Preoccupation with above symptoms and fear of brain damage with hypochondriacal concern and adoption of sick role

# Diagnostic Criteria for Postconcussional Disorder (DSM-IV)

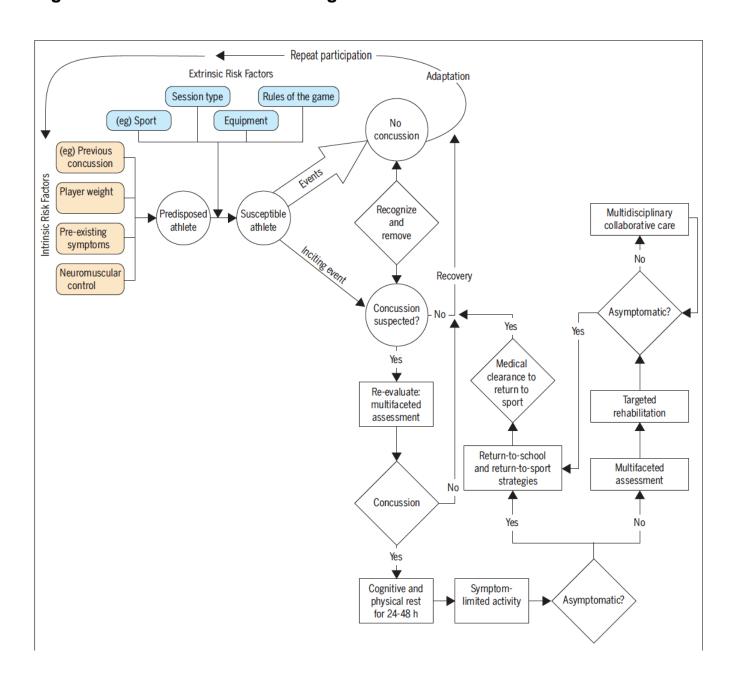
A. A history of head trauma that has caused significant cerebral concussion.

The manifestations of concussion include loss of consciousness, posttraumatic amnesia, and less commonly, posttraumatic onset of seizures. The specific method of defining this criterion needs to be established by further research.

- B. Evidence from neuropsychological testing or quantified cognitive assessment of difficulty in attention (concentrating, shifting focus of attention, performing simultaneous cognitive tasks) or memory (learning or recall of information).
- C. Three (or more) of the following occur shortly after the trauma and last at least 3 months:
  - Becoming fatigued easily
  - Disordered sleep
  - Headache
  - Vertigo or dizziness
  - Irritability or aggression on little or no provocation
  - Anxiety, depression or affective instability
  - Changes in personality (e.g. social or sexual inappropriateness)
  - · Apathy or lack of spontaneity
- D. The symptoms in criteria B and C have their onset following head trauma or else represent a substantial worsening of preexisting symptoms.
- E. The disturbance causes significant impairment in social or occupational functioning and represents a significant decline from a previous level of functioning. In school-age children, the impairment may be manifested by a significant worsening in school or academic performance dating from the trauma.
- F. The symptoms do not meet criteria for Dementia Due to Head Trauma and are not better accounted for by another mental disorder (e.g. Amnestic Disorder Due to Head Trauma, Personality Change Due to Head Trauma)



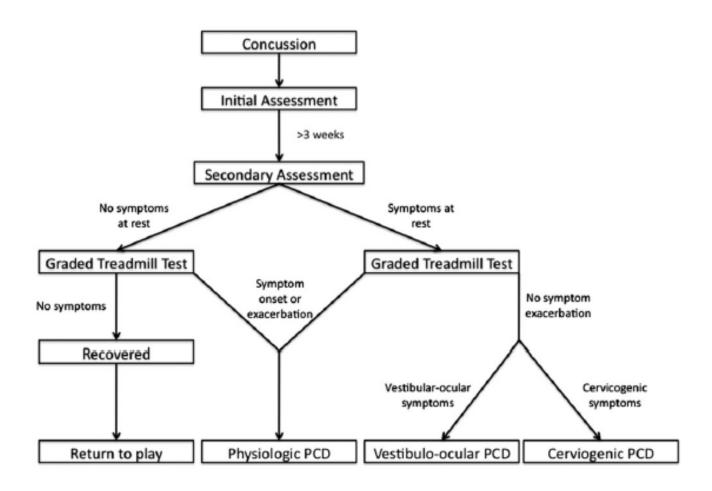
# Appendix B: Factors that Increase Risk for Concussion and Proposed Algorithm for Treatment Following Concussion<sup>11</sup>



# Appendix C: Proposed Algorithm for Differential Diagnosis of PCD Subtypes<sup>5</sup>

Summary of pathophysiology, predominant symptoms, pertinent physical examination findings, graded treadmill test results and treatment options in patients with PCDs

	Physiologic PCD	Vestibulo-ocular PCD	Cerviogenic PCD
Pathophysiology		Dysfunction of the vestibular and oculomotor symptoms	Muscle trauma and inflammation Dysfunction of cervical spine proprioception
Predominant Symptoms	<ul> <li>Headache exacerbated by • physical and cognitive activity</li> <li>Nausea, intermittent vomiting, photophobia, phonophobia, dizziness, fatigue, difficulty concentrating, slowed speech</li> </ul>	nausea, lightheadedness, gait instability and postural instability at rest.	Neck pain, stiffness and decreased range of motion Occipital headaches exacerbated by head movements and not physical or cognitive activity Lightheadedness and postural imbalance
Physical exam findings	<ul> <li>No focal neurological findings</li> <li>Elevated resting HR</li> </ul>	standardized balance and gait testing	Decreased cervical lordosis and range of motion Paraspinal and sub-occipital muscle tenderness Impaired head-neck position sense
Graded treadmill test	Often terminated early due• to symptom onset or exacerbation	Patients typically reach maximal exertion without exacerbation of vestibulo-ocular symptoms	Patients typically reach maximal exertion without exacerbation of cervicogenic symptoms
Management options	<ul> <li>Physical and cognitive rest</li> <li>School accommodations</li> <li>Sub-symptom threshold aerobic exercise programs should be considered for adolescent and adult athletes</li> </ul>	Vestibular rehabilitation program Vision therapy program School accommodations Sub-symptom threshold aerobic exercise programs should be considered for adolescent and adult athletes	Cervical spine manual therapy Head-neck proprioception re-training Balance and gaze stabilization exercises Sub-symptom threshold aerobic exercise programs should be considered for adolescent and adult athletes



# **Appendix D: Ohio State Concussion Grading Scale**

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Circle the number in each row that best describes the way you have been feeling relative to the symptom.

Symptom	None	None Mild		Mode	erate	Severe	
Headache	0	1	2	3	4	5	6
"Pressure in Head"	0	1	2	3	4	5	6
Neck Pain	0	1	2	3	4	5	6
Nausea or Vomiting	0	1	2	3	4	5	6
Dizziness	0	1	2	3	4	5	6
Blurred Vision	0	1	2	3	4	5	6
Balance Problems	0	1	2	3	4	5	6
Sensitivity to Light	0	1	2	3	4	5	6
Sensitivity to Noise	0	1	2	3	4	5	6
Feeling Slowed Down	0	1	2	3	4	5	6
Feeling Like "In a Fog"	0	1	2	3	4	5	6
Don't Feel Right	0	1	2	3	4	5	6
Difficulty Concentrating	0	1	2	3	4	5	6
Difficulty Remembering	0	1	2	3	4	5	6
Fatigue or Low Energy	0	1	2	3	4	5	6
Confusion	0	1	2	3	4	5	6
Drowsiness	0	1	2	3	4	5	6
Trouble Falling Asleep	0	1	2	3	4	5	6
More Emotional	0	1	2	3	4	5	6
Irritability	0	1	2	3	4	5	6
Sadness	0	1	2	3	4	5	6
Nervous or Anxious	0	1	2	3	4	5	6
Sleeping More Than Usual	0	1	2	3	4	5	6
Sleeping Less Than Usual	0	1	2	3	4	5	6
Difficulty Sleeping Soundly	0	1	2	3	4	5	6
Ringing in Ears	0	1	2	3	4	5	6
Numbness or Tingling	0	1	2	3	4	5	6

1.	•	veek, my sleepi ou been taking u waking during	day?	□Yes □Yes □Yes	□No If NO □No □No	O, skip to #2		
2.	Over the past week, my participation in <b>work</b> or <b>school</b> has been normally.						_% of wha	at it would be
3.	,							
4.								
			_	•				
	0	1	2	3	4		5	6
	0 No More Effort	1	2	3	4			6 Lot More Effort
5.	Please indicate	the type of visi		u are experienc	•			Ů
	Please indicate  Eye Fatigue	the type of visi	on ⊡Blurry∖	u are experience	ing:	h/a □Yes		Ů
6.	Please indicate Eye Fatigue Do your sympto	the type of vision Double Visions get <b>WORS</b>	on □Blurry \ <b>E</b> with <b>physica</b>	u are experiend √ision □0 al activity?	ther	□Yes	A	Ů
5. 6. 7. 8.	Please indicate  Eye Fatigue	the type of vision to the type of vision to the type of vision to the type of type of the type of type of type of the type of	on ⊡Blurry \ E with physica E with thinking	u are experience Vision  D  al activity? g/cognitive act	ther		A	Ů



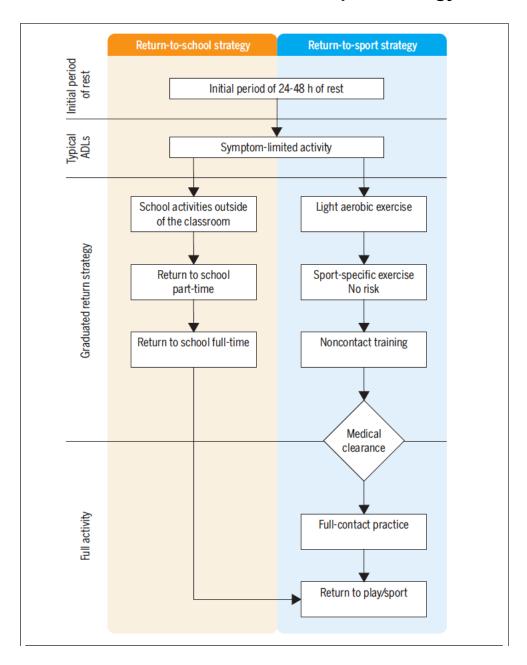
# **Appendix E: Buffalo Concussion Treadmill Test**

Name		Date		
Symptoms				
HRmax = 220 - age =	Resting HR	Resting BP	Speed	

Min	HR	BP	RPE	Symptom Change	Observations
0				, 1	
1		-			
		Inclir	ne increased by	1% at start of minute 2	
2					
3		-			
4					
5		-			
6					
7		-			
8					
9		-			
10					
11		-			
12					
13		-			
14					
15		-			
16					
17		-			
18					
19		_			
20					
2-min					
post 5-min					
post					

**Notes:** 

# Appendix F: Return-to-School and Return-to-Sport Strategy<sup>11</sup>



# Appendix G: Return to Activity Protocol

Each phase to last 1 to 2 weeks, all phases should be progressed to patient tolerance avoiding all concussion signs and symptoms, patient should participate in monitored home program 6 days per week.

#### Phase I (no impact)

Cardiovascular Conditioning (elliptical, stationary bike, spinner)

• 30 to 50% max heart rate times 15 to 20 minutes

# Strengthening

- 50% max time 3 way plank (prone, right and left)
- Upper and lower body strengthening without resistance with low sets and reps

Phase II (low impact) Cardiovascular Conditioning (elliptical, stationary bike, spinner, walking on treadmill)

- 30 to 50% max heart rate times 20 to 30 minutes
- 10 min at 30 to 50%, 3-5 min at 60 to 80%, 5 min at 30 to 50%, 3-5 min at 60 to 80%, 5-10 min at 30 to 50%
- 60 to 80% max heart rate times 5 to 10 minutes

### Strengthening/Balance

- 75 to 100% max time 3 way plank
- Upper and lower body strengthening with body weight at low sets and high reps
- Upper and lower body strengthening with body weight at low sets and low reps
- Initiate static balance activities on firm surface with eyes open per Balance Error Scoring System (BESS)

## Phase III (impact)

Cardiovascular Conditioning (initiate running on treadmill to tolerance)

• 60 to 80% max heart rate times 10 to 15 minutes

#### Strengthening/Balance

- 100% max time 3 way plank, dynamic core strength and stability
- Upper and lower body strengthening with body weight at low sets and high reps
- Upper and lower body strengthening with resistance at low sets and reps
- Progress balance activities to eyes closed per BESS

#### Phase IV (agility)

Cardiovascular Conditioning (continue treadmill running, initiate running on sport specific surfaces)

- Warm up: 60% max heart rate times 15 minutes
- Circuit training: 60-80% max HR for 30 minutes [Borg 11-14 (light to somewhat hard)]

Each interval should be 30 seconds in duration. May complete extra cycles if time and symptoms allow

	Cardio	LE Strength	Balance	Cardio	UE Strength	Agility	Cardio	Core	Rest
Cycle 1	Jog	Squats	SLS Eyes	Jog	T-band Shoulder	Box Drill	Jog	Prone Plank	2 min
			Closed		Extension				
Cycle 2	Jog	Walking	SLS with	Jog	Push-ups	Pro-Agility	Jog	R side Plank	2-min
		Lunges	UE Chop		(modified)	(T-drill)			
Cycle 3	Jog	T-Band Resisted	Star Drill	Jog	T-Band Star Pulls	W-Drill	Jog	L side Plank	2-min
		Sidestep							

#### **Phase V** (return to activity)

Cardiovascular Conditioning (continue treadmill running, continue running on sport specific surfaces)

- Warm up: 60 to 80% max heart rate times 20-30 minutes
- Circuit training: 60-80%max HR for 30 minutes [Borg scale 14-18 (Hard to very hard)]

Each Interval should be 30-45 seconds in duration. May complete extra cycles if time and symptoms allow

	Cardio	LE Strength	Balance	Cardio	UE Strength	Agility	Cardio	Core	Rest
Cycle 1	Jog	2-foot Jumps	SLS on Foam	Jog	Med Ball	Box Drill	Jog	Med Ball	2 min
		in Place	+ Rebounder		Slams			Twists	
Cycle 2	Jog	Lateral Bench	SLS with UE	Jog	T-Band Rows	Pro-Agility	Jog	R side Plank	2 min
		Jump Plyos	Shop			(T-Drill)		with Rotation	
Cycle 3	Jog	Lunge	SLS with Floor	Jog	Med Ball	W-Drill	Jog	L side Plank	2 min
		hops/switch	Touch		Chest Pass			with Rotation	
					(wall)				

Phase VI (return to full contact practice) - Check in briefly to determine if PT needs persist



# **Appendix H: Return to Sport Protocol**

Prior to beginning the return to sport progression, the athlete must complete a period of cognitive and physical rest – 24-48 hrs. Length of rest period will be determined by physician or appropriate medical professional.

- Symptom checklist should be completed by athlete before and after each treatment/exercise session
- If symptoms are elevated with exercise beyond permissible criteria, do not progress to next phase. Return athlete to previous phase which did not elevate symptoms.
- Communication with physician required prior to advancing beyond day/phase 3.

If athlete is unable to progress through phase 5 after 14 days, athlete should be referred back to a physician for additional multidisciplinary testing, and a Buffalo Concussion Treadmill Testing (BCTT) may be considered

	Coung (DOTT) II	,										
Day/Phase 1	Light aerobic activity (30-40% max HR) - 5 min warm up - 15 min duration		Tar	get Heart	Rate =	((max Hi	R − res	sting HR)	× %Int	ensity)		
	Moderate Each cycle completed one time. Each exercise in cycle 1-2 performed for 30 sec											
	aerobic activity (40-60 % max HR)	Each cycle completed one time. Each exercise in cycle 1-2 performed for 30 sec										ec
se 2		Cycle 1	Jog	Squats	Jog	Push ups	Jog	Lunges	Jog	Bridges	Jog	2 min rest
ha		Cycle 2	Jog	Squats	Jog	Push ups	Jog	Lunges	Jog	Bridges	Jog	2 min rest
Day/Phase 2	<ul><li>5 min warm up</li><li>15 min duration</li><li>15 min circuit</li><li>based exercise</li></ul>	Cycle 3	balance	gle Leg e on Right 0 sec)	Balan	gle Leg ce on Left 0 sec)		Prone Plank (30 sec-1 min)				
	Higher intensity	Б.1	1	1 . 1	, •	F 1		. 1	1.0	C 1.C	20	
	aerobic activity	Each cycle completed one time. Each exercise in cycle 1-2 performed for 30 sec										
~	(60-80% max HR) -5 min warm up -20 min duration -15 min circuit	Cycle 1	Jog	Hops	Jog	Skips	Jog	Lunge hops	Jog	Box Drill	Jog	2 min rest
hase .		Cycle 2	Jog	Hops	Jog	Skips	Jog	Lunge hops	Jog	Box Drill	Jog	2 min rest
Day/Phase 3		Cycle 3				Side plank (30 sec)						
	based exercise -Sport specific non-contact drills	Non-contact sport specific aerobic drills x 5 min: i.e. – Running, Skating, etc.										
Day/Phase	Return to practice Non-contact Drills Non-contact Drills Non-contact Drills Non-contact Drills Non-contact Drills											
Communication with physician required before advancing beyond phase 4												
Day/Phase 5: Full Contact Practice												
	Day/Phasa 6. Paturn to Sport											
Day/Phase 6: Return to Sport												



# Appendix I: Modifiers for Vestibular Rehabilitation<sup>20</sup>

Each phase to last 1 to 2 weeks, all phases should be progressed to patient tolerance avoiding all concussion signs and symptoms, patient should participate in monitored home program 6 days per week.

Modifier	Choices						
Posture	1: Sitting, 2: Standing, 3 Walking, Not applicable/Not specified (NA/N						
Surface	1: Level, 2: Form, 3: Uneven, 4: Obstacle, 5: Stairs, 6: Ramps, NA/NS)						
Base of support	1: Feet apart, 2: Feet together, 3: Semi-tandem, 4: Tandem, NA/NS						
Trunk position	1: Upright, 2: Learning, 3: Rotated, NA/NS						
Arm position	1: Weight-bearing, 2: Close to body, 3: Away from body, 4: Reaching,						
	5: Carrying, 6: Picking up objects, 7: Juggling, NA/NS						
Head movement direction	1: Still, 2: Yaw, 3: Pitch, 4: Roll, NA/NS						
Direction of whole body movements	1: Anterior-posterior, 2: Medial-lateral, 3: Multi-directional, NA/NS						
Visual movement	1: Eyes closed, 2: Eyes open, 3: Complex patterns, NA/NS						
Cognitive dual task	1: Yes, 2: No						
Special circumstances	For example, note if the VORx1 exercise was performed with near or						
	far target						

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